# Original Bond

### LICENSE OR PERMIT BOND

# KNOW ALL MEN BY THESE PRESENT: Dynegy Energy Services, Inc. That we. as Principal, and Safeco Insurance Company of America , a corporation organized under the laws of the State of Washington with its principal office in the City of Seattle as Surety, are held and firmly bound unto \_\_\_\_\_ People of the State of Illinois\_ lawful money of the United States, for the payment of which, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents. WHEREAS, the above bounden Principal has obtained or is about to obtain from the said Obligee a license or permit to act as an Alternative Retail Electric Supplier (ARES) as provided by the Act and to satisfy the bond requirement under Section 451.110 for the indicated term and ; and the term of said license or permit is as indicated conditioned as hereinafter set forth opposite the block checked below: Beginning the 4th day of January, 2000 XX day of January, 2001 ending the 4th Continuous, beginning the \_ \_day of , WHEREAS, the Principal is required by law to file with the Obligee a bond for the above indicated term and conditioned as hereinafter set forth. NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION IS SUCH. That if the above bounden Principal as such licensee or permittee shall indemnify said Obligee against all loss, costs, expenses or damage to it caused by said Principal's non-compliance with or breach of any laws, statues, ordinances, rules or regulations pertaining to such license or permit issued to the Principal, which said breach or non-compliance shall occur during the term of this bond, then this obligation shall be void, otherwise to remain in full force and effect. PROVIDED, that if this bond is for a fixed term, it may be continued by Certificate executed by the Surety hereon; and PROVIDED FURTHER, that regardless of the number of years this bond shall continue or be continued in force and of the number of premiums that shall be payable or paid, the Surety shall not be liable hereunder for a larger amount, in the aggregate, than the amount of this bond; and PROVIDED FURTHER, that if the Surety shall so elect, this bond may be canceled by the Surety as to subsequent liability by giving thirty (30) days notice in writing to said Obligee. Signed, sealed and dated this 4th day of January Dynegy Energy Services, Inc (Seal) By: ⊈ens S. Foster/ tice President, Taxation

Safeco Insurance Company of America

Attorney-in-Fact



# POWER OF ATTORNEY

Bond No. 603 05 88
SAFECO INSURANCE COMPANY OF AMERICA
GENERAL INSURANCE COMPANY OF AMERICA
HOME OFFICE: SAFECO PLAZA
SEATTLE, WASHINGTON \$8185

No. <u>6485</u>

CNOW ALL BY THESE PRESENTS:					
That SAFECO INSURANCE COMPANY OF AMERICA	A and GENERAL INSURANC	E COMPANY OF AMERIC	A, each a Washing	on corporation,	ices each hamby
ppoint	D) 4773 0 DOWN DO 37 TO 4770 374	STOSE OFFICE A PLANT OF THE	MAN TARRETTA	CEOND. LOT DE	TTD Y 3.64 CCTTSC
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Kic & Phone, house, leas					
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ts true and lawful attorney(s)-in-fact, with full authority	to execute on its behalf fidel	ity and surety bonds or und	ertakings and other	documents of a	similar character
saued in the course of its business, and to bind the resp	pective company thereby.	9	•		-
n witness whereof, safeco insurance co	OMPANY OF AMERICA and	GENERAL INSURANCE	COMPANY OF AN	ERICA have as	ich executed and
attested these presents					
•					
	سده شف	dans all	A 41		. 1999
ın	is 14th	day of _	April	<del></del>	1999
·		J٨	A 1	11 11 1	
RaGierson	<b>71</b> .	(A)	Innaall S	Artel V	
ser a se se m		,0	i Amender d	January .	
R.A. PIERSON, SECRETARY		W. F	ANDALL STODDA	RD, PRESIDEN	T
	CERTIFIC	CATE	•	-	
Extract from	the By-Laws of SAFECO INS	HIDANICE COMBANY OF A	MEDICA		
	d of GENERAL INSURANCE				
				3.F. m1 1	
Article V. Section 13 FIDELITY AND SURETY BO	NDS the President, any V	ce President, the Secretary	, and any Assistant	Vice President	appointed for tha
purpose by the officer in charge of surety operations, sh execute on behalf of the company tidelity and surety by	iali each have authority to appr	omiar character lected by	the company in the	rappropriate time	ne will a concern in
execute on behalf of the company ilideacy and surety bo instrument making of evidencing such appointment, the	onde and other documents of	Billiper Character resulted by	nie cumpany in un	euch authority s	ron any hond n
nstrument maxing or evidencing such appointment, the seal, or a facsimile the	reof but he impressed or of	i by lecouline. Oli exiy ino Sved or in any other manner	rentoduced, popula	ed bowever that	the seal shall no
be necessary to the validity of any such instrument or un		Men of all may date; Harrior	inhiannon' bracer		
· · · · · · · · · · · · · · · · · · ·	_				
Extract from a Resolution	n of the Board of Directors of : RAL INSURANCE COMPAN	SAFECU INSURANCE CUI V OE AUTERICA adentad (ii	MPANT OF AMERI	GA	
and of Gener	ral insurance compan	I OL WINELIOW ambien on	uy 20, 1870.		
'On any certificate executed by the Secretary or an assis		y satting out,		•	
(i) The provisions of Article V, Section 13 of the	e By-Laws, and				
(ii) A copy of the power-of-attorney appointment					-
(iii) Certifying that said power-of-attorney appoin	tment is in full force and effec	A, 			
the signature of the certifying officer may be by facsimile	s, and the seal of the Compan	у пъву рев насвиние извеси.	•		
·					
I, R.A. Pierson, Secretary of SAFECO INSURANCE CO	OMPANY OF AMERICA and	of GENERAL INSURANCE	COMPANY OF A	VERICA, GO DER	edy centry that us
foregoing extracts of the By-Laws and of a Resolution of correct, and that both the By-Laws, the Resolution and t	or the Board of Directors of the	ese corporations, and or air: In full force and effect	DWH DI AUGINDY 1845	ond baledatt ax	siero, are noc ass
•					
IN WITNESS WHEREOF, I have hereunto set my hand	and affixed the facsimile seal	of said corporation			
•		•	•	• *	
			•		2000
tr	his4th	day of	January		. 2000
•		•			
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<u> </u>				• *	•
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(953/4/ 18	22/5/		, , ,		<del>-</del> -
OF WISHINGS	Washingto		R.A. PIERSO	N, SECRETAR	•
	100				

# Rider Amending Bond to \$150,000

## RIDER

Attached to and forming part of	Permit of Alt	ernative Retail	l Electric Sup	plier (ARES)
Bond No. 603 05 88 , eff	fective	<u> January 1, 20</u>	00	, on behalf of
DYNEGY ENERGY SERVICES.	INC.	of Hou	ston, Texas	, in favor of
ILLINOIS COMMERCE COM	MMISSION		and in	the amount of
Thirty Thousand and No/100	_ (\$30,000.00	)) Dollars.		
It is understood and agreed that effe	ective Octo	ber 1, 2001	•	
The bond penalty is amended to rea	d <u>One Hundr</u>	ed Fifty Thous	sand and No/	<u>100 (\$150,000.00)</u>
All other conditions and terms to re	main as origin	ally written.		
Signed, sealed and dated this 1st	day of _O	ctober	<u>, 2001</u> .	
	***			
	DYN	EGY ENERG	Y SERVICE	S. INC. Principal
	By:		76/4	
	Donr	na P. McGinn: President	is	
	SAFECO IN	SURANCE C	OMPANY O	F AMERICA Surety
		Vildred	22.m	
· · · · · · · · · · · · · · · · · · ·	Mildro	ed L. Massey	At	torney-ig-Fact
The above Rider is hereby agreed to	and accepted	<b>:</b> ,	•	
Ву:				

Bond No. 603 05 88



Bond No. 603 05 88 SAFECD INSURANCE COMPANY OF AMERICA GENERAL INSURANCE COMPANY OF AMERICA HOME OFFICE: SAFECO PLAZA SEATTLE, WASHINGTON 98185

Na.	6485
IERIC:	a, each a Washington composition, does each hemby
iye la	NGFORD; MILDRED L. MASSEY; ERIC S. FEIGHL;

KNOW ALL BY THESE PRESENTS:

That SAFECO INSURANCE COMPANY OF AMERICA and GENERAL INSURANCE COMPANY OF AM toloons

\*\*\*\*\*PHYLLIS RAMIREZ: JANIE CERMENO: PHILIF N. BAIR; NANCY THOMAS; VICTE COLEMAN; JIMM CHERYL BAXLEY, Houston, Texas .....

its true and lawful attorney(s)-in-fact, with full authority to execute on its behalf lidelity and surely bonds or undertakings and other documents of a similar character issued in the course of its business, and to bind the respective company thereby,

IN WITNESS WHEREOF, SAFECO INSURANCE COMPANY OF AMERICA and GENERAL INSURANCE COMPANY OF AMERICA have each executed and eliested these presents

day of

RA Pierson

R.A. PIERSON, SECRETARY

BOH DICKEY, PRESIDENT

## CERTIFICATE

Extract from the By-Laws of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA:

"Article V. Section 13. - FIDELITY AND SURETY BONDS ... the President, any Vice President, the Secretary, and any Assistant Vice President appointed for their purpose by the officer in charge of surety operations, shall each have authority to appoint individuals as attorneys-in-fact or under other appropriate littles with authority to execute on behalf of the company ficiality and surety bonds and other documents of similar character issued by the company in the course of its business... On any instrument making or evidencing such appointment, the signatures may be affixed by facsimile. On any instrument conferring such authority or on any bond or undertaking of the company, the seal, or a face mile thereof, may be impressed or affixed or in any other manner reproduced; provided, however, that the seal shall not be necessary to the velicity of any such instrument or undertaking."

> Extract from a Resolution of the Board of Directors of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA adopted July 28, 1970.

"On any certificate executed by the Secretary or an assistant secretary of the Company setting out,

(i) The provisions of Article V. Section 13 of the By-Laws, and

(li) A copy of the power-of-attorney appointment, executed pursuant thereto, and

(III) Certifying that said power-of-attorney appointment is in full force and affect,

the signature of the certifying officer may be by facsimile, and the seal of the Company may be a facsimile thereof."

1, R.A. Pierson, Secretary of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA, do hereby cartify that the foregoing extracts of the By-Laws and of a Resolution of the Board of Directors of these corporations, and of a Power of Afformey issued pursuant thereto, are true and correct, and that both the By-Laws, the Resolution and the Power of Attorney are still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the facetmile seed of said comporation

2001 October





Ra Bierson

R.A. PIERSON, SECRETARY

# Rider Amending Bond in favor of the "People of the State of Illinois"

# RIDER

Attached to and forming part of	Permit of Alternative Retail I	Electric Supplier (ARES)
Bond No. 603 05 88 , effect	ctive January 4, 2000	, on behalf of
DYNEGY ENERGY SERVICES, IN	NC., of Houston, T	<u>rexas</u> , in favor of
PEOPLE OF THE STATE OF IL	LINOIS	_ and in the amount of
Thirty Thousand and No/100	(\$30,000.00) Dollars.	
It is understood and agreed that effects	ive <u>October 15, 2001</u> ,	
The bond penalty is amended to read (\$150,000.00)	One Hundred Fifty Thousand	and No/100 Dollars
All other conditions and terms to rema	in as originally written or amo	ended by Rider.
Signed, sealed and dated this 15th	day of October,	2001
•		
	By: Donna P. McGinnis Vice President, Risk	SERVICES, INC. Principal  Management & Insurance
	SAFECO INSURANCE CO	MPANY OF AMERICA Surety
	By: Mildred L. Massey	Massey Attorney-in-Fact
The above Rider is hereby agreed to a	nd accepted:	
By:	· · · · · · · · · · · · · · · · · · ·	



# **POWER**

603 05 88 Bond No. SAFECO INSURANCE COMPANY OF AMERICA. GENERAL INSURANCE COMPANY OF AMERICA HOME OFFICE: SAFECO PLAZA SEATTLE, WASHINGTON 98185

		No.	6485	<u>~</u>
KNOW ALL BY THESE PRESENTS:				
That SAFECO INSURANCE COMPANY OF AMERICA a appoint *****PHYLLIS RAMIREZ; JANIE CERMENO; PHILIP N. BACHERYL BAXLEY; Houston, Texas************************************	· AIR; NANCY THOMAS; VICIE (			
its true and lawful attorney(s)-in-fact, with full authority to issued in the course of its business, and to bind the respec	execute on its behalf fidelity an live company thereby.	d surety bonds or und	ertakings and other doc	uments of a similar character
IN WITNESS WHEREOF, SAFECO INSURANCE COM attested these presents	PANY OF AMERICA and GEI	NERAL INSURANCE	COMPANY OF AMER	CA have each executed and
this	21st	day of	April	1999
RaGierson	<b>-</b>		Babie	luj
R.A. PIERSON, SECRETARY			BOH DICKEY, PRES	IDENT
	CERTIFICATI			i
	By-Laws of SAFECO INSURA FGENERAL INSURANCE COM			
"Article V, Section 13 FIDELITY AND SURETY BOND purpose by the officer in charge of surety operations, shall execute on behalf of the company fidelity and surety bond instrument making or evidencing such appointment, the undertaking of the company, the seal, or a facsimile thereobe necessary to the validity of any such instrument or under	each have authority to appoint in is and other documents of simil signatures may be affixed by t if, may be impressed or affixed	dividuals as attorneys-i ar character issued by acsimile. On any inst	in-fact of under other ap the company in the con trument conferring such	propriate titles with authority to urse of its business On any n authority or on any bond o
	the Board of Directors of SAFE LINSURANCE COMPANY OF			
"On any certificate executed by the Secretary or an assistant (i) The provisions of Article V, Section 13 of the B (ii) A copy of the power-of-attorney appointment, e (iii) Certifying that said power-of-attorney appointment the signature of the certifying officer may be by facsimile, a	y-Laws, and xecuted pursuant thereto, and ent is in full force and effect,			
I, R.A. Pierson, Secretary of SAFECO INSURANCE COM foregoing extracts of the By-Laws and of a Resolution of the correct, and that both the By-Laws, the Resolution and the	e Board of Directors of these o	proporations, and of a Po	COMPANY OF AMER ower of Attorney issued	ICA, do hereby certify that the pursuant thereto, are true and
IN WITNESS WHEREOF, I have hereunto set my hand and				:
this		day of	October	. 2001





RaPierson

R.A. PIERSON, SECRETARY

# Rider Clarifying Original Bond is Continuous

# RIDER (TO BE FILED WITH OBLIGEE)

To be attached to Bond described below, executed by <u>SAFECO INSURANCE COMPANY</u> <u>OF AMERICA</u> as Surety:

Principal:

DYNEGY ENERGY SERVICES, INC.

Obligee:

PEOPLE OF THE STATE OF ILLINOIS

Description:

Permit of Alternative Retail Electric Supplier (ARES)

Amount:

\$30,000.00

Bond No.:

603 05 88

Said Principal and said Surety hereby agree that the term thereof be and hereby is effective beginning the 4th day of January, 2000, and is continuous, subject to all other provisions, conditions and limitations of said bond, upon the express condition that the Surety's liability thereunder during the original term of said bond and during any extended term thereof shall not be cumulative and shall in no event exceed the sum of <a href="https://doi.org/10.1001/journal.com/">THIRTY THOUSAND AND NO/100 DOLLARS</a> (\$30,000.00) or as amended thereafter by Rider.

IN WITNESS WHEREOF, the said Principal and said Surety have signed or caused this Rider to be duly signed and their respective seals to be hereto affixed this

DYNEGY ENERGY SERVICES, INC.

By:

Donna P. McGinnis Principal

Vice President, Risk Management & Insurance

SAFECO INSURANCE COMPANY OF AMERICA

By: Mildred L. Massey

Attorney-in-Faci

The above Rider is hereby agreed to and accepted:

By:



603 05 88 Bond No. SAFECO INSURANCE COMPANY OF AMERICA GENERAL INSURANCE COMPANY OF AMERICA HOME OFFICE: SAFECO PLAZA SEATTLE, WASHINGTON 98185

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		No	o. 6485	••
' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '	•		·	
NOW ALL BY THESE PRESENTS:				

That SAFECO INSURANCE COMPANY OF AMERICA and GENERAL INSURANCE COMPANY OF AMERICA, each a Washington corporation, does each hereby

\*\*\*\*\*PHYLLIS RAMIREZ; JANIE CERMENO; PHILIP N. BAIR; NANCY THOMAS; VICIE COLEMAN; JIMMYE LANGFORD; MILDRED L. MASSEY; ERIC S. FEIGHL; 

its true and lawful attorney(s)-in-fact, with full authority to execute on its behalf fidelity and surety bonds or undertakings and other documents of a similar character issued in the course of its business, and to bind the respective company thereby.

IN WITNESS WHEREOF, SAFECO INSURANCE COMPANY OF AMERICA and GENERAL INSURANCE COMPANY OF AMERICA have each executed and attested these presents

Ra Pierson

R.A. PIERSON, SECRETARY

**BOH DICKEY, PRESIDENT** 

### CERTIFICATE

## Extract from the By-Laws of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA:

"Article V, Section 13. - FIDELITY AND SURETY BONDS ... the President, any Vice President, the Secretary, and any Assistant Vice President appointed for that purpose by the officer in charge of surety operations, shall each have authority to appoint individuals as attorneys-in-fact or under other appropriate titles with authority to execute on behalf of the company fidelity and surety bonds and other documents of similar character issued by the company in the course of its business... On any instrument making or evidencing such appointment, the signatures may be affixed by facsimile. On any instrument conferring such authority or on any bond or undertaking of the company, the seal, or a facsimile thereof, may be impressed or affixed or in any other manner reproduced; provided, however, that the seal shall not be necessary to the validity of any such instrument or undertaking."

> Extract from a Resolution of the Board of Directors of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA adopted July 28, 1970.

"On any certificate executed by the Secretary or an assistant secretary of the Company setting out,

- (i) The provisions of Article V. Section 13 of the By-Laws, and
- (ii) A copy of the power-of-attorney appointment, executed pursuant thereto, and
- (iii) Certifying that said power-of-attorney appointment is in full force and effect,

the signature of the certifying officer may be by facsimile, and the seal of the Company may be a facsimile thereof."

i, R.A. Pierson, Secretary of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA, do hereby certify that the foregoing extracts of the By-Laws and of a Resolution of the Board of Directors of these corporations, and of a Power of Attorney issued pursuant thereto, are true and correct, and that both the By-Laws, the Resolution and the Power of Attorney are still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the facsimile seal of said corporation

October 0 2001





RaGierson

R.A. PIERSON, SECRETARY